

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	5431063
<b>Application Number:</b>	10628631
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	4899
<b>Title of Invention:</b>	System and method for improved electronic trading
<b>First Named Inventor/Applicant Name:</b>	Fred Monroe
<b>Customer Number:</b>	39310
<b>Filer:</b>	Thomas J. Loos
<b>Filer Authorized By:</b>	
<b>Attorney Docket Number:</b>	03-748
<b>Receipt Date:</b>	01-JUN-2009
<b>Filing Date:</b>	28-JUL-2003
<b>Time Stamp:</b>	18:49:11
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$ 180
RAM confirmation Number	3686
Deposit Account	132490
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		03-748_NFOAR_031209_AS_FI LED.pdf	145267	yes	12
			b3953efd3823e9e5e099f28d647d288a519b9f34		
	Multipart Description/PDF files in .zip description				
	Document Description		Start	End	
	Amendment/Req. Reconsideration-After Non-Final Reject		1	1	
	Claims		2	5	
	Applicant Arguments/Remarks Made in an Amendment		6	12	
Warnings:					
Information:					
2	Information Disclosure Statement (IDS) Filed (SB/08)	03-748_IDS_060109.pdf	820020	no	5
			51e9f79f6b1b8aa215d33733a80421b64ac9a436		
Warnings:					
Information:					
3	NPL Documents	03-748- Z_Financial_News_Article_051 303_NPL.pdf	146203	no	3
			eb024691fd0dd3b191cae1a3b339ccc239fba432		
Warnings:					
Information:					
4	NPL Documents	03-748-Z_Sivy_article.pdf	451071	no	8
			66c4e4ec6b50fc2cd7b624301141ba90f138e650		
Warnings:					
Information:					
5	NPL Documents	03-748- Z_Zarb_speech_062399_NPL. pdf	1111367	no	13
			b0ee0eb030975354040ab1d0ed0b770b998d4d97		
Warnings:					
Information:					
6	Fee Worksheet (PTO-875)	fee-info.pdf	29534	no	2
			bc691e875b7d93b9d62d7a6dfd35109c0b92a7		
Warnings:					
Information:					

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.